

**Scope of reply:**

\* Terms with numbers in parentheses correspond to the numbered items in the sheet (3) Explanation of Terms

<b>Company:</b>	
<b>Department:</b>	
<b>Business:</b>	
<b>Scope of reply:</b>	Consolidated, Group in Japan (incl. main company), Main company alone, Individual Company, Business Division, Business site (incl. plant), Other

**Quick Links**

<b>I. Corporate governance</b>	<b>IV. Environment</b>	<b>VII. Information security</b>
<b>II. Human rights</b>	<b>V. Fair business practices</b>	<b>VIII. Supply chain</b>
<b>III. Labor</b>	<b>VI. Quality and safety</b>	<b>IX. Local Communities</b>

**When answering:**  
Refer to the instructions, glossary, and guide. To answer a question, enter the number of your chosen "Response Level" from the right into the "Answer" field.  
Yellow cells are required to be filled, while blue cells ("Comments") are optional.

Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5
<b>I. (1) Corporate governance related to (2) CSR</b>	1. Establishment of a CSR promotion system  Companies are expected to endeavor to achieve a sustainable society while observing the law, abiding by social norms, meeting society's expectations, and taking care not to have a negative impact on society and the environment. Companies must put this idea into practice while familiarizing employees with it. Toward that end, they must establish a system for risk management of ESG (environmental, social, and governance) related to CSR and for implementing the PDCA (Plan, Do, Check, Act) cycle.	Policy	Do you have a policy and guidelines related to this topic?		No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?		No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	2. Establishment of (3) internal controls  It is expected that management systems and schemes are in place within the company to ensure effectiveness and efficiency of operations as a company, reliability of financial reporting, compliance with laws and regulations related to business activities, and asset protection, with a view toward the establishment of an organizational structure for sound corporate management.	Policy	Do you have a policy and guidelines related to this topic?		No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?		No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	3. Establishment of a (4) business continuity planning (BCP) system  It is expected that a system is in place for continuity or early recovery of important operations and business during/ after a disaster (e.g., natural disaster, major fire, terrorist attack).	Policy	Do you have a policy and guidelines related to this topic?		No		Yes, we have one or both.		Yes, one (or both) is established and reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?		No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	4. Establishment of a whistle-blower system  It is expected that a system is in place for employees who have learned of a compliance problem in the company's business activities in general, such as a legal violation or wrongful act or an act that could be a compliance problem, or for employees who themselves have suffered human rights or labor abuses to directly report to or consult with a dedicated department or outside contact. It is also expected that the system is set up to ensure confidentiality and that the whistleblower will not be treated unfavorably.	Policy	Do you have a policy and guidelines related to this topic?		No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?		No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	5. Dispatch of CSR-related information inside and outside the company  It is expected that financial information and (5) non-financial information is dispatched appropriately inside and outside the company in response to requests from society and (6) stakeholders for transparency and accountability regarding corporate activities.	Policy	Do you have a policy and guidelines related to this topic?		No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?		No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.								

Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5
<b>II. Human rights</b>	1. Basic attitude toward human rights  Companies should respect and protect human rights as declared in international standards and frameworks and ensure that they are not complicit in (or contributing to) human rights violations.  Major international frameworks and norms: (7) Universal Declaration of Human Rights, (8) Ten Principles of the UN Global Compact, (9) UN Guiding Principles on Business and Human Rights, (10) OECD Guidelines for Multinational Enterprises, (11) UK Modern Slavery Act, etc.	Legal awareness	Are you aware of international standards and frameworks, national legislation as well as (12) social norms/(13) industry norms and standards at home and abroad related to this category?		No		Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.
		Policy	Do you have a policy and guidelines related to this topic?		No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?		No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
	2. Respect for human rights and prohibition of discrimination  Companies should respect human rights and have a responsibility to conduct decision-making and business activities without discrimination based on race, nationality, gender, (14) sexual orientation, age, ancestry, religion, ethnicity, or immigration, etc., or against children, senior citizens, persons with disabilities, indigenous populations, the poor, or persons with HIV/AIDS, etc.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	3. Avoidance of complicity in (or contribution to) human rights abuses  Companies should ensure that the company's decision-making, business activities, and products and services do not lead to complicity in (or contributions to) human rights abuses of consumers or members of the local community.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	4. Respect for indigenous peoples and local communities  It is important for companies that conduct business in regions where indigenous peoples and ethnic minorities live to respect their unique cultures and histories, to abide not only by local laws and ordinances but also international standards, and to give consideration to the rights of indigenous peoples. Also, beyond the issue of indigenous peoples, it is essential to conduct responsible business operations with sensitivity to local communities that are affected, in order to maintain the right to operate and to obtain permits and licenses.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?		No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?		No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.							

Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5
III. Labor	1. Basic attitude toward labor practices  Companies should recognize and comply with the labor principles presented in international norms, etc., and to apply basic workplace principles as universal values.  Main international frameworks and norms: (7) Universal Declaration of Human Rights, (15) International Labor Organization's (ILO) Declaration on Fundamental Principles and Rights at Work, (8) Ten Principles of the UN Global Compact, (9) UN "Guiding Principles on Business and Human Rights," (10) OECD Guidelines for Multinational Enterprises, (11) UK Modern Slavery Act, etc.	Legal awareness	Are you aware of legislation as well as social norms/ industry norms and standards at home and abroad related to this category?	No			Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.
		Policy	Do you have a policy and guidelines related to this topic?	No			Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No			Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
	2. Prohibition of discrimination in the workplace  Companies should not discriminate at the workplace, including during recruitment process, based on race, nationality, gender, sexual orientation, age, ancestry, religion, ethnicity, immigration, the existence of a disability, etc., or any factors other than factors such as the applicant's abilities and aptitude.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	3. Provision of equal opportunities to employees regarding human resources development and (16) career advancement, etc.  Companies are expected to play a critical role in ensuring that opportunities, such as for promotion and training, are provided equally without undermining fairness on the grounds of race, nationality, gender, sexual orientation, age, ancestry, religion, ethnicity, immigration, the existence of a disability, marital status, or the condition of health, etc.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	4. Prohibition of inhumane treatment  Companies should respect employees' human rights. Inhumane treatment, such as abuse, physical punishment and harassment, is prohibited.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	5. Payment of fair wages  Companies should abide by the legal minimum wage of the country and region in which they are operating, and ensure that an appropriate (17) collective agreement is concluded regarding matters such as overtime, and that extra pay and payment methods, etc., are applied fairly.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	6. Fair application of working hours, time off, and paid time off, etc.  Companies should abide by working hours designated by law or agreed to in advance. They should ensure that employees' working hours are managed appropriately and that they are given the right to take paid time off. Employees should be given at least one day off per week.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	7. Prohibition of forced labor  Companies should avoid making people work against their will and making people do work that restricts their freedom to leave their jobs. Work coercion using unjustified means of restraint and compulsion of overtime work, etc., should not be practiced. In addition, (18) unjustified retention of identification documents, etc., and unjustified collection of (19) deposit should not be practiced.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	8. Prohibition of child labor  Companies should avoid employing children under the legal working age in that country or region. In addition, children should not be made to perform work that could harm their health, safety, or morals.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	9. Respect for the religious traditions and customs of the country of operation  Companies should respect the traditions and customs of the country and region in which they are operating, and respect their employees' religious traditions and customs. Care should be taken through uniform employment regulations, etc., to not hinder them.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	10. Recognition of and respect for (20) freedom of association and the right to collective bargaining  Companies should respect their employees' freedom of association, freedom to join a labor union, and freedom to protest without being subject to retribution, threats, or harassment and should provide opportunities for labor-management dialogue.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
Corrective actions		Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.	

<b>Intent of question:</b> To question whether work environments are provided that are safe and healthy for workers, including their mental health.	11. Proper management of employee safety and health  Companies should take steps so that the risk of accidents occurring during work and the risks of harmful chemical substances, noise, and odors on the human body are understood and that appropriate safety measures, etc., are taken (including statutory inspections, (21) safeguards, (22) danger signals, control of the handling of chemical substances, measures against dangerous work, and compliance with designations for protective equipment, etc.). In addition, measures that give consideration to employees' mental health should also be taken.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.								
<b>Theme</b>	<b>Topic</b>	<b>Approach</b>	<b>Question</b>	<b>Answer</b>	<b>Response Level 1</b>	<b>Response Level 2</b>	<b>Response Level 3</b>	<b>Response Level 4</b>	<b>Response Level 5</b>
<b>IV. Environment</b>	1. Basic attitude toward environmental initiatives Companies are expected to be aware of environmental issues in (23) business processes and to establish systems to resolve them. They are also required to identify factors that have an impact on the environment and to take responsibility for managing them.  Main international frameworks and norms: (24) Rio Declaration on Environment and Development, (8) Ten Principles of the UN Global Compact, (25) ISO 14001, (26) Paris Agreement, (27) Basel Convention,  (28) Major laws and regulations related to the environment: Japan: Basic Environment Act; Chemical Substance Control Law; Industrial Safety and Health Act; Water Pollution Prevention Act; Act on Advancement of Water Resources Development; Act Relating to the Prevention of Marine Pollution and Maritime Disasters; River Act; Waste Management and Public Cleansing Act; Basic Act on Establishing a Sound Material-Cycle Society; various recycling laws US: TSCA EU: REACH Regulation South Korea: Toxic Chemical Control Act; Occupational Safety and Health Act China: Provisions on the Environmental Management of New Chemical Substances Taiwan: Occupational Safety & Health Act	Legal awareness	Are you aware of legislation as well as social norms/ industry norms and standards at home and abroad related to this category?	No			Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.
		Policy	Do you have a policy and guidelines related to this topic?	No			Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No			Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
	2. Management of chemical substances indicated in laws and regulations, etc., in production processes, products, and services  Companies should manage chemical substances in products and, moreover, ascertain and report the amount of handled chemical substances to the government.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	3. Control and reduction of waste water, sludge and air emissions  Companies are expected to set targets at the level prescribed by law or more rigorous voluntary environmental impact reduction targets. In addition, efforts should be made to prevent pollution, to monitor and control waste water, (29) sludge and air emissions etc., and to reduce outflow.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	4. Sustainable and efficient utilization of resources (energy, water, raw materials, etc.)  Companies are expected to set independent targets for implementing resource conservation and energy savings, and to make efforts to effectively use sustainable resources and energies.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	5. Reduction of (30) GHG (greenhouse gases)  Companies are expected to set independent reduction targets for greenhouse gases, such as carbon dioxide, methane and fluorocarbons, and make efforts to reduce them to help combat climate change.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	6. Identification, management, reduction, and (31) responsible disposal or recycling of waste  Companies are expected to set independent reduction targets for waste, and make efforts to reduce it.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	7. Initiatives related to (32) biodiversity  Companies are expected to conduct investigations into the direct and indirect impacts of business on the ecosystem, and make efforts to protect biodiversity and ensure its sustainable utilization.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
Corrective actions		Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.	

<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.			
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Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5
<b>V. Fair business practices</b>	1. Basic attitude toward fair business practices Companies are expected to engage in fair business practices (e.g., prevention of corruption, responsible political involvement, fair competition, rejection of relationships with (33) antisocial forces/ organizations) in (23) business processes producing/providing products and services.  Major international frameworks and norms related to fair business practices: (8) Ten Principles of the UN Global Compact, (10) OECD Guidelines for Multinational Enterprises, (34) United Nations Convention against Corruption Major laws and regulations related to fair business practices: (35) Domestic laws regarding competition: Antimonopoly Act (Japan), antitrust laws (US), European Union competition law (EU)	Legal awareness	Are you aware of legislation as well as social norms/industry norms and standards at home and abroad related to this category?	No	No		Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.
		Policy	Do you have a policy and guidelines related to this topic?	No	No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No	No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
	2. Establishment of appropriate relationships with local governments and public officials in and outside countries where business activities are conducted  It is expected that healthy relationships will be maintained between the company and public officials, such as by managing the entertainment of public officials to prevent corruption.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	3. Prevention of the giving and receiving of improper advantages with customers and trade partners, etc., in sales and purchasing activities, etc.  Healthy relationships must be maintained with customers.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	4. (36) Prevention of competition law violations in sales activities, etc.  (37) Bid rigging, (38) cartels, (39) abuse of dominant bargaining position, and other (40) unfair trading practices should be prevented from occurring.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	5. Rejection of relationships with antisocial forces/organizations  Relationships with violent groups and corporate extortionists, etc., should be rejected.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	6. Prevention of unauthorized use of a third party's intellectual property and of illegal reproduction of copyrighted works  Intellectual property rights, including (41) patent rights, copyrights, and trademark rights, should be respected.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	7. Services for responding to complaints from outside the company and for consultations  There should be a system in place for persons involved with trade partners or consumers who have knowledge of important risk information related to transactions with the company allowing them to directly report to or consult with a dedicated department or outside contact. Also, the system should be set up to ensure confidentiality and to ensure that the informant will not be treated unfavorably.	System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No	No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	8. Prohibition of insider trading  Persons involved with a listed company, etc., are prohibited from using undisclosed company information to trade that company's stocks, etc.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	9. Prohibition of acts with conflicting interests  In situations in which there is a conflict between an employee's interest and the company's interest, employees are prohibited from enjoying personal benefits at the expense of the company's benefits.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, we have both.
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.
	<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.							

Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5	
<b>VI. Quality and safety</b>	1. Basic attitude toward product and service quality and safety Companies are required to ensure the quality and safety of products and services provided through business activities and to respond appropriately to customers/ consumers, etc., in the event of an accident.  Main international frameworks and norms: (42) ISO 9001, ISO/IEC Guide 51, EU New Approach Directives, and HACCP (43) Major laws and regulations related to quality and safety: PL Act; Consumer Product Safety Act; Electrical Appliances and Materials Safety Act; Gas Business Act; LP Act; PMD Act; Radio Act; Food Sanitation Act; JAS Act; Water Supply Act; Quality Labeling Act; Housing Quality Act; Road Transport Vehicle Act; Building Standards Act; Premiums and Representations Act, etc.	Legal awareness	Are you aware of legislation as well as social norms/industry norms and standards at home and abroad related to this category?	No	No		Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.	
		Policy	Do you have a policy and guidelines related to this topic?	No	No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.	
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No	No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.	
	2. Ensuring product and service quality and safety  Companies are expected to qualify and ensure safety when supplying products and services. [In-house quality management system, use of a third-party certification system, etc. (S mark, SG mark, ST mark, JIS mark, JAS mark, etc.)]	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.	
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
	3. Appropriate response to product and service accidents and the circulation of defective goods  Companies should establish a system in case of situations such as information disclosure, notification of the relevant authorities, product recall, and safety measures for supply destinations.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.	
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
	<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.								
	Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5
<b>VII. Information security</b>	1. Basic attitude toward information security Businesses are required to properly manage and protect information obtained through business activities and to take defensive measures against threats on computer networks.  Major laws and regulations related to information security: Penal Code; Basic Act on Cybersecurity; Act on the Protection of Personal Information; Act against Unauthorized Access; Act on Electronic Signature Certification, etc.	Legal awareness	Are you aware of legislation as well as social norms/industry norms and standards at home and abroad related to this category?	No	No		Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.	
		Policy	Do you have a policy and guidelines related to this topic?	No	No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.	
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No	No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.	
	2. Defense against attacks on computer networks  Defensive measures should be taken against attacks on computer networks, and managed so as to not cause damage to the company or other businesses.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.	
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
	3. Protection of personal data and privacy  The personal information of customers, third parties, and employees should be properly managed and protected.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.	
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
	4. Prevention of misuse of confidential information  Confidential information received from customers and third parties should be properly managed and protected.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.	
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.									

Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5	
<b>VIII. (44) Supply chain</b>	1. Basic attitude toward the supply chain  Companies are required to fulfill corporate responsibility in business processes that create products and services. It is advisable to establish a CSR/sustainable procurement policy and to make it widely known and instilled in and outside the company, with the aim of practicing CSR activities not only in the company but also throughout the supply chain. Accordingly, it is necessary to inform and instill in the company's suppliers the practice of the matters included in each SAQ.  Major laws and regulations related to the supply chain: (45) EU RoHS Directive, (28) REACH Regulation, (11) UK Modern Slavery Act, (46) California Transparency in Supply Chains Act	Legal awareness	Are you aware of legislation as well as social norms/industry norms and standards at home and abroad related to this category?	No	No		Yes, but the existence of violations is not checked.		Yes, and the existence of violations is checked.	
		Policy	Do you have a policy and guidelines related to this topic?	No		Yes, we have one or both.		Yes, we have one (or both), and it is reviewed properly in a timely manner.		
		System / Responsibility	Do you have a designated person or structure assuming responsibility or promotion of this topic?	No		Yes, we have.		Yes, we have designated one, and it is reviewed properly in a timely manner.		
		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.		
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.		
	2. Use of raw materials not involved in conflict or crime (initiatives against (47) conflict minerals)  Companies are expected to survey suppliers and conduct verification, in case of the purchase and use of gold (Au), tantalum (Ta), tungsten (W), and tin (Sn), which are conflict minerals connected to inhumane acts committed by local armed groups in the Democratic Republic of the Congo and surrounding regions and elsewhere. The purchase and use of such minerals are prohibited.  Major laws and regulations related to conflict minerals: (48) Dodd-Frank Act	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No		Yes, but we have no mechanism for checking results.		Yes, and they are audited.		
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No		Yes, we have.		Yes, and it is reviewed properly in a timely manner.		
	<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.								
	Theme	Topic	Approach	Question	Answer	Response Level 1	Response Level 2	Response Level 3	Response Level 4	Response Level 5
	<b>IX. Harmonious coexistence with (49) local communities</b>	1. Initiatives to reduce negative effects on local communities  Companies are required to carry out initiatives eliminating health, safety, and other hazards to local communities and residents from the production process and operation of products and services.	Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.
Corrective actions			Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
2. Initiatives with local communities towards sustainable development  Companies are expected to carry out initiatives with local communities aimed at sustainable development.  Examples of initiatives with local communities: Job creation, skills development, priority purchasing of local products and services, supplier development, etc.		Checking initiative results	Do you have initiatives related to this topic? In addition, do you have a mechanism for checking initiative results?	No			Yes, but we have no mechanism for checking results.		Yes, we have both.	
		Corrective actions	Do you have a mechanism for corrective actions related to this topic as necessary?	No			Yes, we have.		Yes, and it is reviewed properly in a timely manner.	
<b>Comments</b>	Use the right column if there is anything you would like to add, such as initiatives your company is taking in regards to this theme.									